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PTO/SB/21 (09-04)

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TRANSMITTAL FORM		Application Number		10/588,711			
(to be used for all correspondence after initial filing)		Filing Date		August 07, 2006			
		Intl. Pub. No.		WO 2006/087909			
		Intl. Filing Date		February 11, 2004			
		First Named Inventor		D.E. Oulman			
		Examiner Name		To be Determined			
Total Number of Pages in This Submission		126		Attorney Docket Number		15389US02	
ENCLOSURES (check all that apply)							
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement with Form PTO SB/08a (in duplicate- attaching the following: a) References B1-B4; and C1 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53			<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD			<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Return-Receipt Postcard <input type="checkbox"/> Other Enclosure(s) (please identify below):	
Remarks							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm or Individual Name		McAndrews Held & Malloy, Ltd.					
Name (Print/type)		Troy A. Groetken, Esq.		Registration No. (Attorney/Agent)		46,442	
Signature					Date: January 22, 2007		
EXPRESS MAIL DEPOSIT							
"Express Mail" mailing label number : EV 726847469 US							
Date of Deposit: January 22, 2007							



ATTORNEY DOCKET NO. 15389US02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Donald E. Oulman

Serial No. 10/588,711

Filed: August 07, 2006

International  
Publication No. WO 2005/087909

International  
Filing Date: February 11, 2004

For: PRODUCTION OF HIGH ACTIVE TO  
SUPER HIGH ACTIVE SURFACTANTS  
IN A VACUUM NEUTRALIZER

CERTIFICATE OF EXPRESS MAILING

Express Mail No.: EV 726847469 US

Dated: January 22, 2007

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97-1.98 and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, it is respectfully requested that the following references be considered in the examination of the above-identified patent application.

<u>U.S. PATENT / APPLN. NO.</u>	<u>DATE</u>	<u>INVENTOR(S)</u>
4,119,661	10-10-1978	E. Balakirev, <i>et al.</i>
4,544,493	10-01-1985	S. J. Silvis
4,919,847	04-24-1990	Barletta, <i>et al.</i>
5,152,932	10-06-1992	F.J. Mueller, <i>et al.</i>
5,739,097	04-14-1998	Y. Bauer, <i>et al.</i>
5,968,893	10-19-1999	S. K. Manohar, <i>et al.</i>
6,140,302	10-31-2000	T. Lueder, <i>et al.</i>
6,514,930 B2	02-04-2003	W.D. Emery, <i>et al.</i>
2003/0050213 A1	03-13-2003	Y. G. Aouad, <i>et al.</i>

**FOREIGN PATENT  
DOCUMENTS**

<u>DATE</u>	<u>NAME OF PATENTEE</u>	
WO 03/010264 A1	02-06-2003	The Procter & Gamble Company
WO 01/09273 A2	02-08-2001	The Procter & Gamble Company
WO 96/06916	03-07-1996	Unilever PLC
EP 0539 519	02-06-1992	The Procter & Gamble Company

**OTHER**

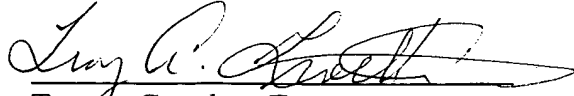
N.C. FOSTER AND M.W. ROLLOCK, "*Medium to Very High Active Single Step Neutralization*", Chemithon, 1997 The Chemithon Corporation, pp. 1-13.

The above-identified reference(s) are also listed on the attached PTO Form SB/08a. Copies of the cited foreign patents and non-patent materials are provided herewith.

This submission is not intended as an admission that the above-cited references constitute prior art. Applicant expressly retains the right to take any actions necessary to remove the above-cited references from the available prior art. Consideration of the above-identified references in the examination of the present patent application is respectfully requested.

Applicant believes that this Information Disclosure Statement is being submitted prior to the issuance of the first Office Action in this matter, and therefore believe that no fee is due at this time. However, the Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Account No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Troy A. Groetken", written over a horizontal line.

Troy A. Groetken, Esq.  
Registration No. 46,442  
Attorney for Applicant

Date: January 22, 2007

McANDREWS, HELD & MALLOY, LTD.  
500 W. Madison Street, 34<sup>th</sup> Floor  
Chicago, Illinois 60661  
Telephone: (312) 775-8000  
Facsimile: (312) 775-8100



PTO/SB/08a (08-03)

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/588,711
Filing Date	August 07, 2006
Internatl. Pub. No.	WO 2005/087909
Internatl. Filing Date	February 11, 2004
First Named Inventor	Donald E. Oulman
Art Unit	NOT YET KNOWN
Examiner Name	NOT YET KNOWN

Sheet 1 of 1

Attorney Docket Number 15389US02

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	A1	4,119,661	10-10-1978	E. Balakirev, <i>et al.</i>	Abstract, Cols. 1-8
	A2	4,544,493	10-01-1985	S.J. Silvis	Abstract, Cols. 1-22
	A3	4,919,847	04-24-1990	G. Barletta, <i>et al.</i>	Abstract, Cols. 1-12, Figs. 1-3
	A4	5,152,932	10-06-1992	F.J. Mueller, <i>et al.</i>	Abstract, Cols. 1-14
	A5	5,739,097	04-14-1998	V. Bauer, <i>et al.</i>	Abstract, Cols. 1-10
	A6	5,968,893	10-19-1999	S.K. Manohar, <i>et al.</i>	Abstract, Cols. 1-78
	A7	6,140,302	10-31-2000	T. Lueder, <i>et al.</i>	Abstract, Cols. 1-8
	A8	6,514,930	02-04-2003	W.D. Emery, <i>et al.</i>	Abstract, Cols. 1-10
	A9	2003/0050213	03-13-2003	Y.G. Aouad, <i>et al.</i>	Pages 1-11

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	B1	WO 03/010264	02-06-2003	The Procter & Gamble Co.	Pages 1-26	
	B2	WO 01/09273	02-08-2001	The Procter & Gamble Co.	Pages 1-25, Figs. 1-2	
	B3	WO 96/06916	03-07-1996	Unilever PLC	Pages 1-23	
	B4	EP 0 539 519	02-06-1992	The Procter & Gamble Co.	Pages 1-24	

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	N.C. FOSTER AND M.W. ROLLOCK, "Medium to Very High Active Single Step Neutralization", Chemithon, 1997 The Chemithon Corporation, pp. 1-13.	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.